

# Construction of MDS matrices combining the Feistel, Misty and Lai-Massey schemes

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# Introduction

## Properties

- Diffusion
- Confusion

Lineal Transformation: MDS matrices

## Practical point of view

Matrix should be implemented efficiently both in software/hardware

## Methods

- Cauchy and Hadamard
- Coding Theory

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Binary Matrices With  
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Matrices from  
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# Definition and Notation

- $P = GF(2^t) = GF(2)[x]/g(x)$ —finite field with  $2^t$  elements, for some irreducible polynomial  $g(x)$  of degree  $t$ ;
- $P^n$ —vector space of dimension  $n$  over  $P$ ;
- $P_{n,n}$ —the ring of  $n \times n$  matrices over finite field  $P$ ;
- $1$ —the neutral element of the multiplicative group  $P^*$ ;
- $\oplus$ —addition in  $GF(2^t)$ ;
- $w_H(\vec{a})$ —the Hamming weight of a vector  $\vec{a} \in P^n$ , i.e. the number of its nonzero coordinates;
- $\omega(\mathcal{M})$ —the number of 1's in the matrix  $\mathcal{M}$ ;
- $\Psi^{-1}$ —the inverse transformation to some invertible mapping  $\Psi$ ;
- $I_{n,n}$ —the identity matrix of  $P_{n,n}$ .
- $O_{n,n}$ —the zero matrix of  $P_{n,n}$ .

## Involutive Transform

An transformation  $\varphi : P^n \rightarrow P^n$  is called involutive, if  $\forall \alpha \in P^n$  the following equality hold  $\varphi(\varphi(\alpha)) = \alpha$ .

Clearly, if  $\varphi$  is an involutive transformation then for any  $\phi : P^n \rightarrow P^n$  the transformation  $\hat{\varphi} = \phi \circ \varphi \circ \phi^{-1}$  will be an ivolution too.

## Lineal Transform

An transformation  $\varphi : P^n \rightarrow P^n$  is called linear transformation, if the following relation holds

$$\forall, \vec{\alpha}, \vec{\beta} \in P^n, a_1, a_2 \in P : \varphi(a_1\vec{\alpha} + a_2\vec{\beta}) = a_1\varphi(\vec{\alpha}) + a_2\varphi(\vec{\beta}), \quad (1)$$

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Let be  $\vec{\alpha} = (\alpha_1, \dots, \alpha_n)$  a basis of the vector space  $P^n$ . The matrix  $A_{\vec{\alpha}}(\varphi) \in P_{n,n}$  defined as follows

$$A_{\vec{\alpha}}(\varphi) = (\varphi(\alpha_1)_{\vec{\alpha}}^{\downarrow}, \dots, \varphi(\alpha_n)_{\vec{\alpha}}^{\downarrow}) \quad (2)$$

is called the matrix associated with the linear transformation  $\varphi$  in the basis  $\vec{\alpha}$ .

## Branch Number

The branch number  $\rho$  of matrix  $A \in P_{n,n}$  is defined as

$$\rho(A) = \min_{\vec{a} \neq \vec{0}} \{w_H(\vec{a}) + w_H(\vec{a}A)\}. \quad (3)$$

A matrix  $A \in P_{n,n}$  is called maximal distance separable (MDS) matrix if  $\rho(A) = n + 1$

Let be  $A = (a_{ij})_{n \times n}$  an arbitrary matrix over  $P$ . The number of occurrences of one in  $A$  denoted by  $\mathcal{N}_1(A)$  is the the number of  $(i, j)$  pairs such that  $a_{ij}$  is equal to one.

## Almost Involutori Matrix

Let be  $A = (a_{ij})_{n \times n}$  an arbitrary matrix over  $P$ . We say that  $A$  has the almost involutory property if

- 1  $A^{-1} \neq A$ ;
- 2 All coefficients of  $A$  can be found in  $A^{-1}$  too.

- For example, let be  $P = GF(2^4)/0x13$  and  $\mathcal{M}_{2 \times 2} = \begin{pmatrix} 1 & C \\ C & E \end{pmatrix} \in P_{2,2}$ . It can be easy checked that  $\mathcal{M}_{2 \times 2}^{-1} = \begin{pmatrix} E & C \\ C & 1 \end{pmatrix} \in P_{2,2}$
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## Preposition

If  $A \in P_{n,n}$  is an involutory MDS matrix and  $\Pi \in P_{n,n}$  is permutation matrix then the matrix  $A\Pi$  and  $\Pi A$  are almost involutory MDS.

## Characteristic Polynomial

The characteristic polynomial of a linear transformation of a matrix  $A \in P_{n,n}$ , denoted by  $\chi_A(x)$ , is defined as follow

$$\chi_A(x) = |I_{n,n}x \oplus A|. \quad (4)$$

## DXC and GO

- 1 **Direct XOR Count.** Given a matrix  $\mathcal{M} \in GF(2)_{t \times n, t \times n}$ , the direct XOR count  $DXC(\mathcal{M})$  of  $\mathcal{M}$  is  $\omega(\mathcal{M}) - nt$ . This metric corresponds to counting the number of gates used in a naive implementation of the linear mapping  $\mathcal{M}$ .
- 2 **Global Optimization.** For a matrix  $\mathcal{M} \in GF(2)_{t \times n, t \times n}$ , it is possible to obtain an estimation of its cost in hardware by finding a good linear straight-line program corresponding to  $\mathcal{M}$ .

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# Transformations Lai-Massey, Feistel and Misty

Let be  $n = 2t$  an even number, in what follows  $\vec{x} = (\vec{x}_1 || \vec{x}_2)$  where  $\vec{x}_1 = (x_1, \dots, x_t)$  and  $\vec{x}_2 = (x_{t+1}, \dots, x_{2t})$ ; for any  $\mathcal{L} \in P_{n,n}$

**Lai-Massey-like transformation:**

$$\varphi_1(\vec{x}) = (\vec{x}_1 \oplus \mathcal{L}(\vec{x}_1 \oplus \vec{x}_2)) || (\vec{x}_2 \oplus \mathcal{L}(\vec{x}_1 \oplus \vec{x}_2)).$$

**Feistel-like transformation:**

$$\varphi_2(\vec{x}) = (\vec{x}_1 \oplus \mathcal{L}(\vec{x}_2)) || \vec{x}_2.$$

**Misty-like transformation:**

$$\varphi_3(\vec{x}) = \mathcal{L}(\vec{x}_1 \oplus \vec{x}_2) || \vec{x}_2.$$

Let be  $n = 4$  and  $f_1(x) = x^2 \oplus x \oplus 1$ ,  $f_2(x) = x^4 \oplus x^3 \oplus 1$ ,  $f_3(x) = x^4 \oplus x^3 \oplus x^2 \oplus x \oplus 1$ —some polynomials over field  $P$ . In the following we work in the field  $P = GF(2^8)$ .

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# Construction 1

## Construction of $\mathcal{M}_{n \times n}^{\Phi_A}$

Let be  $\Phi_A = \varphi_2 \circ \varphi_1 \circ \varphi_2$ . Then

$$\mathcal{M}_{4 \times 4}^{\Phi_A} = A_{\alpha_4}(\Phi_A);$$

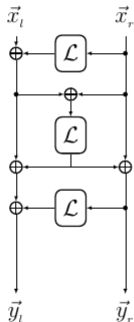


Fig. 1: Structure of  $\Phi_A$ .

## Proposition

Let be an element  $a \in P^*$ ,  $a \neq 1$  for which  $f_1(a) \neq 0$ . The matrix  $\mathcal{M}_{4 \times 4}^{\Phi_A}$  of transformation  $\Phi_A$  with  $\mathcal{L} = \begin{pmatrix} a & 1 \\ 1 & a \end{pmatrix}$  is an involutory MDS matrix.

$$\mathcal{M}_{4 \times 4}^{\Phi_A} = \begin{pmatrix} a^2 \oplus a & 1 & a & 1 \\ 1 & a^2 \oplus a & 1 & a \\ a^3 & a^2 & a^2 \oplus a & 1 \\ a^2 & a^3 & 1 & a^2 \oplus a \end{pmatrix}$$



## Construction 2

### Construction of $\mathcal{M}_{n \times n}^{\Phi_B}$

Let be  $\Phi_B = \varphi_1 \circ \varphi_2 \circ \varphi_1$ . Then  
 $\mathcal{M}_{4 \times 4}^{\Phi_B} = A_{\alpha_4}(\Phi_B)$ ;

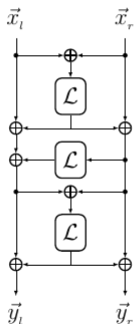


Fig. 2: Structure of  $\Phi_B$ .

### Proposition

Let be an element  $a \in P^*$ ,  $a \neq 1$ , for which  $f_1(a) \neq 0$  then the matrix  $\mathcal{M}_{4 \times 4}^{\Phi_B}$  of transformation  $\Phi_B$  with  $\mathcal{L} = \begin{pmatrix} 1 & 1 \\ a & 1 \end{pmatrix}$  is an involutory MDS.

$$\mathcal{M}_{4 \times 4}^{\Phi_B} = \begin{pmatrix} 1 & a \oplus 1 & a \oplus 1 & a \oplus 1 \\ a^2 \oplus a & 1 & a^2 \oplus a & a \oplus 1 \\ a & a & 1 & a \oplus 1 \\ a^2 & a & a^2 \oplus a & 1 \end{pmatrix}$$

# Construction 3

## Construction of $\mathcal{M}_{n \times n}^{\Phi_C}$

Let be  $\Phi_C = \varphi_3 \circ \varphi_2 \circ \varphi_3^{-1}$ . Then

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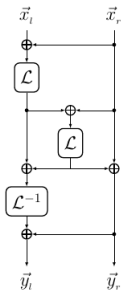


Fig. 3: Structure of  $\Phi_C$ .

## Proposition

Let be an element  $a \in P^*$ ,  $a \neq 1$  for which  $f_i(a) \neq 0$  where  $i = 1, 2, 3$  then the matrix  $\mathcal{M}_{4 \times 4}^{\Phi_C}$  of transformation  $\Phi_C$  with  $\mathcal{L} = \begin{pmatrix} a & 1 \\ a & a^2 \end{pmatrix}$  is an involutory MDS matrix.

## Ortomorphism

The linear mapping  $\mathcal{L} : P^k \rightarrow P^k$ , defined as  $\mathcal{L}(\vec{a}) = \vec{a} \cdot A$ , where  $\vec{a} \in P^k$ ,  $A \in P_{k,k}^*$  is called a linear orthomorphism if the matrix  $A \oplus I_{k \times k}$  is invertible over  $P$ .

$$\Pi_1 = \begin{pmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \end{pmatrix},$$
$$\mathcal{M}_{4 \times 4}^{\Phi_A} \circ \Pi_1$$

$$\Pi_2 = \begin{pmatrix} 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \end{pmatrix},$$
$$\mathcal{M}_{4 \times 4}^{\Phi_B} \circ \Pi_2$$

$$\Pi_3 = \begin{pmatrix} 0 & 0 & 0 & 1 \\ 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \end{pmatrix}.$$
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# Some example

Matrix $M$	$\mathcal{L}$	Finite field $P$	Involutory	Almost involutory	$N_i(M)$	$\chi_M(X)$	$\chi_M(X)$ is irreducible over $P$	Factorization of $\chi_M(X)$
$M_{4,4}^{9a} = \begin{pmatrix} 08 & 04 & 06 & 01 \\ 04 & 08 & 01 & 06 \\ 06 & 01 & 02 & 01 \\ 01 & 06 & 01 & 02 \end{pmatrix}$	$\begin{pmatrix} 02 & 01 \\ 01 & 02 \end{pmatrix}$	$GF(2^8)/0x1C3$	No	Yes	6	$x^4 \oplus 0Fx^2 \oplus 01$	No	$(x^2 \oplus 0Fx \oplus 01)^2$
$M_{4,4}^{9b} = \begin{pmatrix} 06 & 01 & 08 & 04 \\ 01 & 06 & 04 & 08 \\ 02 & 01 & 06 & 01 \\ 01 & 02 & 01 & 06 \end{pmatrix}$	$\begin{pmatrix} 02 & 01 \\ 01 & 02 \end{pmatrix}$	$GF(2^8)/0x1C3$	yes	no	6	$(x \oplus 1)^4$	No	$(x \oplus 1)^4$
$M_{4,4}^{9c} = \begin{pmatrix} 9B & 01 & 56 & 43 \\ 01 & 47 & 43 & 01 \\ 56 & 43 & 56 & 04 \\ 43 & 01 & 04 & 01 \end{pmatrix}$	$\begin{pmatrix} 04 & 01 \\ 56 & 04 \end{pmatrix}$	$GF(2^8)/0x11C$	No	Yes	5	$x^4 \oplus 6Bx^3 \oplus 1Bx^2 \oplus 6Bx \oplus 01$	Yes	-
$M_{4,4}^{9d} = \begin{pmatrix} 01 & 06 & 02 & 04 \\ 03 & 01 & 02 & 02 \\ 03 & 06 & 01 & 06 \\ 03 & 03 & 03 & 01 \end{pmatrix}$	$\begin{pmatrix} 01 & 01 \\ 02 & 01 \end{pmatrix}$	$GF(2^8)/0x1C3$	Yes	No	4	$(x \oplus 1)^4$	No	$(x \oplus 1)^4$
$M_{4,4}^{9e} = \begin{pmatrix} 06 & 02 & 04 & 01 \\ 01 & 02 & 02 & 03 \\ 06 & 01 & 06 & 03 \\ 03 & 03 & 01 & 03 \end{pmatrix}$	$\begin{pmatrix} 01 & 01 \\ 02 & 01 \end{pmatrix}$	$GF(2^8)/0x1C3$	No	Yes	4	$x^4 \oplus x^3 \oplus 0Fx^2 \oplus 02x \oplus 1$	No	$(x \oplus 6f)(x^3 \oplus 6Ex^2 \oplus D2x \oplus 24)$
$M_{4,4}^{9f} = \begin{pmatrix} 01 & 02 & 8F & 01 \\ 02 & 08 & 01 & 0C \\ 8F & 01 & 8F & 03 \\ 01 & 0C & 03 & 0C \end{pmatrix}$	$\begin{pmatrix} 01 & 04 \\ 8E & 01 \end{pmatrix}$	$GF(2^8)/0x1C3$	No	Yes	5	$x^4 \oplus 8Ax^3 \oplus FAx^2 \oplus 8Ax \oplus 01$	Yes	-
$M_{4,4}^{9g} = \begin{pmatrix} 05 & 0e & 07 & 0c \\ 07 & 017 & 06 & 13 \\ 06 & 0c & 05 & 0e \\ 06 & 12 & 07 & 17 \end{pmatrix}$	$\begin{pmatrix} 02 & 01 \\ 02 & 04 \end{pmatrix}$	$GF(2^8)/0x1C3$	Yes	No	0	$(x \oplus 1)^4$	No	$(x \oplus 1)^4$
$M_{4,4}^{9h} = \begin{pmatrix} 07 & 0e & 0c & 05 \\ 06 & 17 & 13 & 07 \\ 05 & 0c & 0e & 06 \\ 07 & 12 & 17 & 06 \end{pmatrix}$	$\begin{pmatrix} 02 & 01 \\ 02 & 04 \end{pmatrix}$	$GF(2^8)/0x1C3$	No	Yes	0	$x^4 \oplus 18x^3 \oplus 1Ax^2 \oplus 1Ax \oplus 1$	No	$(x \oplus 37)(x \oplus CC)(x^2 \oplus E3x \oplus F)$
$M_{4,4}^{9i} = \begin{pmatrix} 87 & CB & 93 & 17 \\ 28 & 1D & 7B & 36 \\ CB & 87 & 16 & 93 \\ 1D & 29 & 36 & 7B \end{pmatrix}$	$\begin{pmatrix} 84 & 4C \\ 4D & 35 \end{pmatrix}$	$GF(2^8)/0x1C3$	No	Yes	0	$x^4 \oplus F7x^3 \oplus 65x^2 \oplus F7x \oplus 1$	Yes	-

# Implementation of concrete $\mathcal{M}_{4 \times 4}^{\Phi_A}$

Software and Hardware implementation of matrix

$$\mathcal{M}_{4 \times 4}^{\Phi_A} = \begin{pmatrix} 01 & 07 & E1 & 03 \\ E1 & 04 & E1 & 02 \\ 01 & 03 & E0 & 01 \\ 01 & E8 & 90 & E5 \end{pmatrix} \in P_{4,4},$$

where  $\mathcal{M}_{4 \times 4}^{\Phi_A} \in P_{4,4}$ ,  $P = GF(2^8)/0x1C3$ .

```
uint32_t M (uint32_t x){
    uint8_t a = x, b = x>>8, c = x>>16, d = x>>24, e1, e2;
    d ^= Mult(0xE1, a) ^ Mult(0x2, b);
    c ^= Mult(0x2, (a^b)) ^ a;
    e1 = Mult(0xE1, (a^b^c^d));
    e2 = Mult(0x2, (a^b^c^d)) ^ (b^d);
    d ^= e1;
    c ^= e2;
    b ^= e1;
    a ^= e2;
    d ^= Mult(0xE1, a) ^ Mult(0x2, b);
    c ^= Mult(0x2, (a^b)) ^ a;
    return ((((((uint32_t)d<<8)|c)<<8)|b)<<8)|a);
}
```

Where  $Mult(x,y)$  is the multiplication  $x$  and  $y$  over field.





#	Operación	#	Operación	#	Operación	#	Operación	#	Operación
1	$t_0 = x_6 \oplus x_{14}$	21	$t_{20} = x_7 \oplus t_{18}$	41	$t_{40} = x_3 \oplus t_{21}$	61	$t_{60} = x_{15} \oplus y_{15}$	81	$t_{80} = t_{30} \oplus t_{38}$
2	$t_1 = x_7 \oplus x_{23}$	22	$t_{21} = x_4 \oplus x_{20}$	42	$y_5 = t_{10} \oplus t_{40}$	62	$y_{23} = t_{47} \oplus t_{60}$	82	$y_2 = t_{29} \oplus t_{80}$
3	$t_2 = x_{15} \oplus x_{31}$	23	$t_{22} = x_{10} \oplus x_{27}$	43	$t_{42} = t_{11} \oplus t_{25}$	63	$t_{62} = x_{15} \oplus t_{46}$	83	$t_{82} = x_{19} \oplus t_{78}$
4	$t_3 = x_5 \oplus x_{22}$	24	$t_{23} = x_3 \oplus x_{28}$	44	$y_{14} = x_{31} \oplus t_{42}$	64	$y_{22} = t_{59} \oplus t_{62}$	84	$y_{19} = t_{80} \oplus t_{82}$
5	$t_4 = x_{13} \oplus x_{30}$	25	$t_{24} = x_2 \oplus x_{19}$	45	$t_{44} = t_6 \oplus y_7$	65	$y_{22} = t_{59} \oplus t_{62}$	85	$t_{84} = t_6 \oplus t_{31}$
6	$t_5 = x_5 \oplus x_{21}$	26	$t_{25} = x_{12} \oplus t_{10}$	46	$y_{16} = t_{20} \oplus t_{44}$	66	$y_{25} = t_{20} \oplus t_{64}$	86	$y_{24} = t_{60} \oplus t_{84}$
7	$t_6 = x_{14} \oplus x_{31}$	27	$t_{26} = x_1 \oplus x_{10}$	47	$t_{46} = x_{30} \oplus t_{39}$	67	$t_{66} = x_{17} \oplus t_{33}$	87	$t_{86} = t_7 \oplus t_{30}$
8	$t_7 = x_8 \oplus x_{15}$	28	$t_{27} = x_{11} \oplus t_{22}$	48	$t_{47} = x_{14} \oplus t_{46}$	68	$y_9 = t_{37} \oplus t_{66}$	88	$y_{18} = t_{31} \oplus t_{86}$
9	$t_8 = x_6 \oplus x_{23}$	29	$y_{12} = t_{21} \oplus t_{27}$	49	$y_6 = t_8 \oplus t_{47}$	69	$t_{68} = x_{19} \oplus x_{28}$	89	$t_{88} = t_9 \oplus t_{31}$
10	$t_9 = x_0 \oplus x_7$	30	$t_{29} = x_{18} \oplus t_{26}$	50	$t_{49} = x_{11} \oplus x_{29}$	70	$y_{20} = t_{29} \oplus t_{68}$	90	$y_1 = t_{32} \oplus t_{88}$
11	$t_{10} = x_{13} \oplus x_{29}$	31	$t_{30} = x_{17} \oplus x_{26}$	51	$y_{21} = t_{36} \oplus t_{49}$	71	$t_{70} = x_{21} \oplus t_{22}$	91	$t_{90} = x_2 \oplus x_{18}$
12	$t_{11} = x_{22} \oplus t_0$	32	$t_{31} = x_{16} \oplus t_8$	52	$t_{51} = x_2 \oplus t_{27}$	72	$y_{29} = t_{23} \oplus t_{70}$	92	$t_{91} = t_7 \oplus t_{32}$
13	$t_{12} = t_1 \oplus t_2$	33	$t_{32} = x_9 \oplus x_{25}$	53	$y_3 = t_{29} \oplus t_{51}$	73	$t_{72} = x_{25} \oplus y_7$	93	$y_{10} = t_{90} \oplus t_{91}$
14	$y_7 = t_3 \oplus t_{12}$	34	$t_{33} = x_1 \oplus t_7$	54	$t_{53} = x_3 \oplus x_{10}$	74	$y_{17} = t_{17} \oplus t_{72}$	94	$t_{93} = x_3 \oplus x_{11}$
15	$t_{15} = t_4 \oplus t_{12}$	35	$t_{34} = x_{19} \oplus x_{26}$	55	$y_{11} = t_{35} \oplus t_{53}$	75	$t_{74} = x_{25} \oplus t_{33}$	95	$t_{94} = t_5 \oplus t_{57}$
16	$t_{15} = x_{16} \oplus t_6$	36	$t_{35} = x_9 \oplus t_{34}$	56	$t_{55} = x_7 \oplus y_{14}$	76	$y_{27} = t_{34} \oplus t_{74}$	96	$y_{13} = t_{93} \oplus t_{94}$
17	$y_8 = x_0 \oplus t_{15}$	37	$t_{36} = x_{20} \oplus t_{24}$	57	$y_{31} = t_{44} \oplus t_{55}$	77	$t_{76} = x_{25} \oplus t_{37}$	97	$t_{96} = x_7 \oplus t_{42}$
18	$t_{17} = x_{15} \oplus t_{15}$	38	$t_{37} = x_{24} \oplus t_6$	58	$t_{57} = x_{12} \oplus t_{23}$	78	$y_{26} = t_{38} \oplus t_{76}$	98	$t_{97} = t_{46} \oplus y_{13}$
19	$t_{18} = x_{24} \oplus t_8$	39	$t_{38} = x_{18} \oplus t_9$	59	$y_4 = t_{24} \oplus t_{57}$	79	$t_{78} = x_{27} \oplus t_{35}$	99	$y_{30} = t_{96} \oplus t_{97}$
20	$y_0 = x_8 \oplus t_{18}$	40	$t_{39} = x_4 \oplus t_5$	60	$t_{59} = y_5 \oplus t_{42}$	80	$y_{28} = t_{36} \oplus t_{78}$		

$$\text{DXC}(\mathcal{M}_{32 \times 32}^{\Phi_A}) = 199 \text{ and } \text{GO}(\mathcal{M}_{32 \times 32}^{\Phi_A}) = 80$$

# Comparing our Matrices with State of the Art

Matrix	Involutory	Almost involutory	SLP
$\mathcal{M}_{\text{AES}}$	$\times$	$\times$	97
$\mathcal{M}_{\text{KLSW}}$	✓	$\times$	84
$\mathcal{M}_{\text{SSCZL}}$	✓	✓	80
$\mathcal{M}_{\text{SG}}$	$\times$	$\times$	78
$\mathcal{M}_{\text{MM}}$	$\times$	✓	83
$\mathcal{M}_A$ [this work]	✓	✓	80

Table 3: A comparison with the state-of-the-art.

# Conclusion

- In this work we have presented some new schemes based on the well-known Feistel, Misty and Lai-Massey structures for constructing MDS matrices of size  $n = 2k$ ,  $k = 2$  over field  $GF(2^8)$ .
- Combining these structures we provide involutory and almost involutory MDS matrices which can be implemented efficiently.
- Our results can be generalized for the case of any field although it is necessary to say that with our constructions main MDS is not obtained over field  $GF(2^2)$ .

# Construction of MDS matrices combining the Feistel, Misty and Lai-Massey schemes

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